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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 02 1999

Applicant: Judy Lynn Westby et al.

Title: METHOD AND APPARATUS TO REDUCE ARBITRATED-LOOP OVERHEAD

Docket No.: 934.008US1

Serial No.: 09/193,482

Filed: November 17, 1998

Due Date: February 28, 1999

Examiner: Unknown

Group Art Unit: 2753

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ An Assignment of the invention to Seagate Technology, Inc. (3 pgs.) and Recordation Form Cover Sheet (1 pg.).
- ☒ A check in the amount of \$130.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$838.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ A check in the amount of \$40.00 to cover the Assignment Recording Fee.
- ☒ Form PTO-1533 (1pg.)
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A return postcard.
- ☒ Declaration for Patent Application (3 pgs.)
- ☒ Power of Attorney by Assignee and Certificate by Assignee Under 37 CFR 3.73(b) (1 pg.)

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

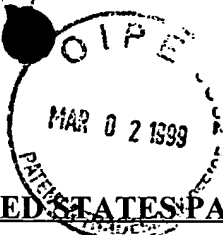
CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 26 day of February, 1999.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Charles A. Lemaire

Name: Charles A. Lemaire  
Reg. No. 36,198  
CAL:CMG:jas

S/N 09/193,482



#3  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Judy Lynn Westby et al. Examiner: Unknown  
Serial No.: 09/193,482 Group Art Unit: 2753  
Filed: November 17, 1998 Docket: 934.008US1  
Title: METHOD AND APPARATUS TO REDUCE ARBITRATED-LOOP  
OVERHEAD

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COMMUNICATION RE: MISSING PARTS

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Declaration along with a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$838.00 to cover the large entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6949.

Respectfully submitted,

JUDY LYNN WESTBY ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6949

Date 26 February 1999 By Charles A. Lemaire  
Charles A. Lemaire  
Reg. No. 36,198  
CAL:CMG:jas

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on 26 February 1999  
(Date of Deposit)

Charles A. Lemaire  
CHARLES A. LEMAIRE  
REGISTRATION # 36,198



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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000193, 440 11/17/98 WESTBY

934.10891941

021186 0232/122  
SCHWEGMAN LUNDBERG MOESSNER & KLUETH  
P O BOX 2938  
MINNEAPOLIS MN 55402

NOT ASSIGNED

2753

**DATE MAILED:**

- 12/29/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
***Filing Date Granted***

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 962.

☐ 1. The statutory basic filing fee is:

☐ missing.  
☐ insufficient.

Applicant must submit \$ 100 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 12, including any multiple dependent claim fees, are required.

\$ 7.50 for 1 independent claims over 3.

\$ 100,000 for dependent claims over 20.

\$ for multiple dependent claim surcharge.

***Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.***

☒ 3. The oath or declaration:

☒ is missing or unexecuted.


☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

**An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.**

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

**A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.** 

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

**See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."**

☐ 9. OTHER:

**Direct the reply and any questions about this notice to "Attention: Box Missing Parts."**

***A copy of this notice MUST be returned with the reply.***

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/11/1999 NTELENI 00000063 091482  
FC:101 760  
FC:102 78  
FC:105 130